

# Substrate-Integrated Waveguide Bandpass Filters With Planar Resonators for System-on-Package

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**Abstract**—This paper proposes some novel substrate-integrated waveguide (SIW) bandpass filters combined with planar resonators. According to specific topologies, microstrip lines with different electrical lengths are introduced into their designs. Their corresponding phase-shift characteristics are used to obtain the desired couplings between SIW cavities and the microstrip resonator. Two third-order filter samples are realized. One has a single transmission zero below the passband and the other possesses a quasi-elliptic response. Further, a fourth-order filter is developed by effectively superpositing two individual third-order topologies. It shows better frequency selectivity and flat in-band group delay, with good agreement between the measured and the simulated  $S$ -parameters. Their compactness and high rejection in the stopbands make them very suitable for system-on-package.

**Index Terms**—Bandpass filter, dual-mode resonator, quasi-elliptic function,  $S$ -parameters, substrate-integrated waveguide, system on package, transmission zeros.

## I. INTRODUCTION

VARIOUS wireless communication systems require miniaturized radio-frequency (RF) design technologies to satisfy the demands of low cost and compact size. System-in-package (SiP) can provide excellent system integration capabilities with good RF performances by embedding active and passive devices into substrates with low loss [1]. With respect to passive components, the development of bandpass filters

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is essential for achieving good performance of the system. A well-designed filter should exhibit the desired passband and high rejection level in its stopband. Planar filters, designed using planar structures, possess some advantages such as compact size, low cost, and ease of fabrication. Therefore, they have been widely implemented in the development of many low-power transceiver systems. The designs for conventional microwave filters have been presented in many previous studies [2]–[4]. However, it should be mentioned that the power-handling capacity of conventional planar resonators is always relative low.

On the other hand, it should be pointed out that substrate-integrated waveguides (SIWs) are better candidates for building up SiPs and have attracted much attention recently [5], [6]. They have the advantages of high  $Q$ -factor, low loss, high power capacity, and ease of integration with other planar circuits. For example, they have been successfully used for the design of various bandpass filters [7]–[19]. Also, using a multilayered printed circuit board (PCB) as well as low-temperature cofired ceramic (LTCC) technology, SIW components with compact sizes can be realized, as shown in [18] and [19]. Of course, the LTCC fabrication cost is higher than that of single-layered PCB technology.

In this paper, some compact SIW bandpass filters with planar microstrip resonators are proposed. Their coupling schemes are given in Fig. 1(a)–(c). In our design, some planar resonators with different electrical lengths are employed for getting various frequency responses, where the coupling between the SIW cavity and the microstrip resonator is created by certain coplanar waveguide (CPW) structure. Under such circumstances, the configuration of our proposed SIW filter with planar resonator is reduced significantly.

The rest of this paper is organized as follows. Section II describes the required design parameters for realizing the proposed filters. In Section III, coupling coefficients and external  $Q$ -factors of the SIW cavity versus physical dimensions are provided. In Section IV, analysis of each filter is carried out, along with its measured  $S$ -parameters. Finally, some conclusions are given in Section V.

## II. FILTER DESIGN

With reference to the coupling schemes shown in Fig. 1(a)–(c), the corresponding configurations of the proposed SIW filters are given in Fig. 2(a)–(c), respectively. Fig. 2(a) shows one third-order SIW filter based on conventional tri-section topology, where microstrip resonators with different

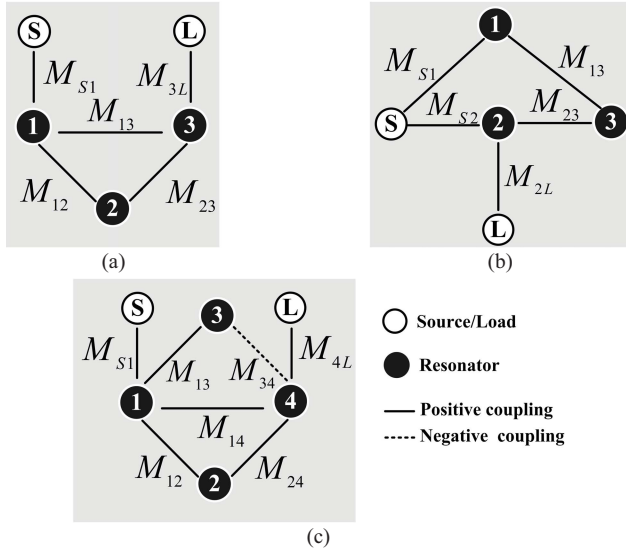


Fig. 1. Coupling scheme of the third-order filter with (a) one transmission zero, (b) quasi-elliptic function, and (c) fourth-order elliptic filter.

electrical lengths are introduced so as to obtain one transmission zero located at its lower or higher stopband.

One novel third-order coupling scheme is presented in Fig. 1(b) which achieves our desired sharp frequency selectivity. The configuration of SIW filter is shown in Fig. 2(b), where one section of the SIW structure is employed to excite both SIW cavities simultaneously, and one microstrip resonator with the length of a single wavelength is used to obtain negative coupling.

Further, one fourth-order coupling scheme, as shown in Fig. 1(c), is also presented, which is the superposition of two third-order ones. Its corresponding SIW configuration with a dual-mode microstrip resonator is given in Fig. 2(c). Both even and odd modes of the microstrip resonator are utilized to achieve positive and negative couplings between the microstrip and the SIW resonators, respectively.

The design parameters of filters, including the coupling coefficients and external  $Q$ -factor, the relationship between coupling coefficients, and the physical structure, should be investigated to get the initial dimensions of the filter. The coupling coefficient can be extracted by

$$M_{i,j} = \pm \frac{f_{p2}^2 - f_{p1}^2}{f_{p2}^2 + f_{p1}^2} \quad (1)$$

where  $f_{p1}$  and  $f_{p2}$  represent the lower and the higher resonant frequencies, respectively. The external  $Q$ -factor is given by

$$Q_e = \frac{f_0}{f_{\pm 90^\circ}} \quad (2)$$

where  $f_0$  and  $f_{\pm 90^\circ}$  denote the central frequency and the  $\pm 90^\circ$  bandwidth of the SIW cavity, respectively. Then, the relationship between  $Q_e$  and  $M_{Si}$  can be described by

$$Q_e = \frac{1}{\text{FBW} \cdot M_{Si}^2} \quad (3)$$

where FBW represents the designed fractional bandwidth.

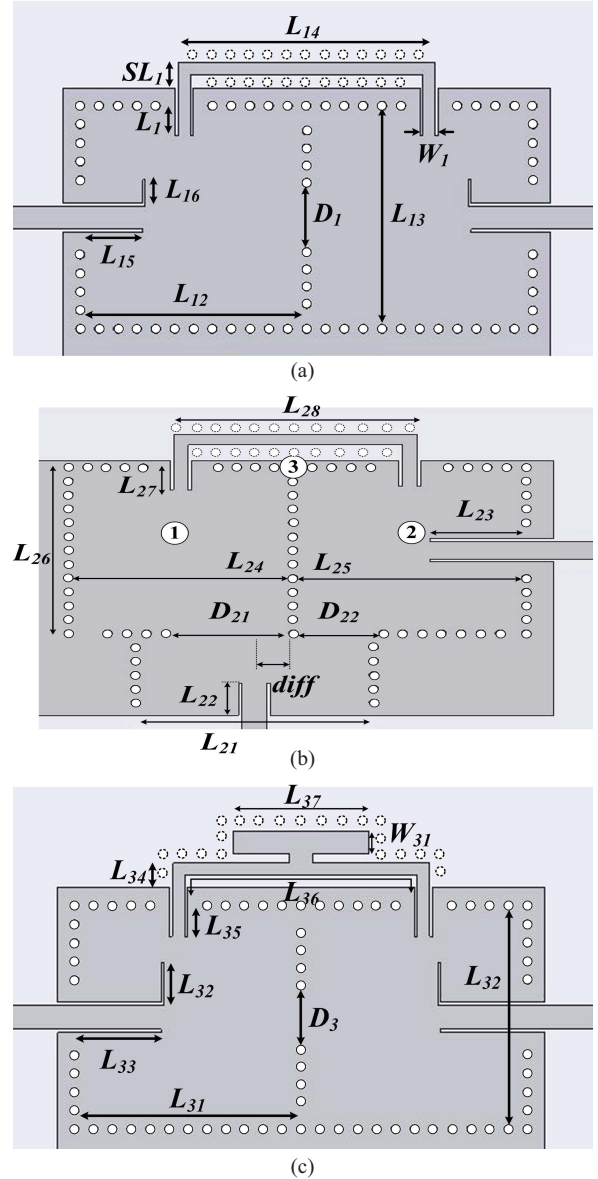


Fig. 2. Top view of the configuration of (a) trisection, (b) modified trisection, and (c) fourth-order SIW filters.

### III. COUPLING COEFFICIENTS AND EXTERNAL $Q$ -FACTOR

#### A. Coupling Between SIW and Microstrip Resonator

The coupling between the SIW cavity and the microstrip resonator above is realized by the CPW structure, which is etched on the top metal layer. The electric field distributions of  $TE_{101}$  mode in the SIW cavity and the quasi-TEM mode in the CPW are plotted in Fig. 3(a) and (b), respectively. It is observed that their electric fields overlap below the strip line, which means that the mode coupling in the structure, integrated by both CPW and SIW, does exist.

As the top metal layer of SIW is grounded by the via holes, the microstrip line is shorted at its two ends. The coupling coefficients between the SIW cavity and the microstrip resonator are plotted in Fig. 4 for different values of  $D_1$  and  $L_1$ . It can be seen that the coupling becomes strong as the values of  $W_1$  and  $L_1$  increase. On the other hand, the magnetic coupling

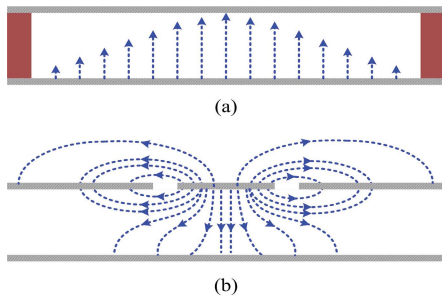


Fig. 3. Electric field distribution of (a)  $TE_{101}$  mode in the SIW cavity and (b) quasi-TEM mode in the CPW.

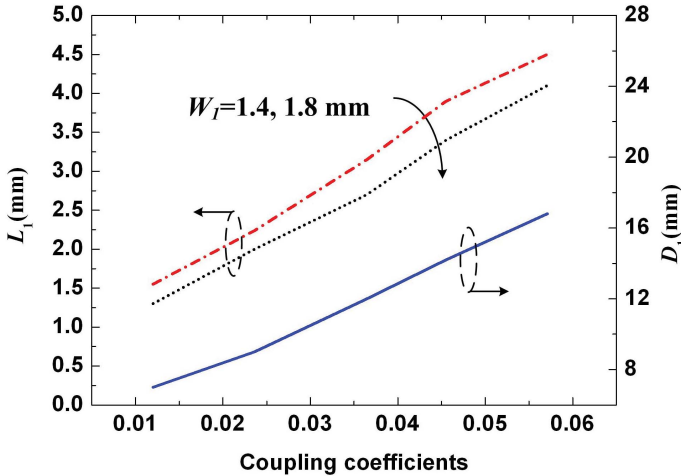


Fig. 4. Coupling coefficient for different values of  $D_1$  and  $L_1$ .

between the SIW cavities becomes strong as the value of  $D_1$  increases.

### B. External $Q$ -Factor of SIW Cavity

In Fig. 2(a) and (c), the feeding structures are the same. The external  $Q$ -factors of SIW cavity are plotted in Fig. 5(a) for different values of  $L_{15}$  and  $L_{16}$ , which decrease with increasing  $L_{15}$  and  $L_{16}$ .

Fig. 2(b) shows a novel SIW configuration which is based on one modified trisection in Fig. 1(b). Both external  $Q$ -factors of SIW cavities 1 and 2, denoted by  $Q_e^I$  and  $Q_e^{II}$ , are plotted in Fig. 5(b) and (c) for different values of  $L_{21}$ ,  $L_{22}$ ,  $L_{23}$ ,  $D_{21}$ ,  $D_{22}$ , and diff, respectively. A section of the SIW structure with the width of  $L_{21}$  is introduced to excite two SIW cavities simultaneously. The value of  $L_{21}$  is large enough so as to make the cut-off frequency below the central frequency. As shown in Fig. 5(c), as  $L_{21}$  decreases, the cut-off frequency increases, and  $Q_e^I$  becomes larger. In addition,  $Q_e^{II}$  becomes larger with decreasing values of  $L_{22}$ ,  $D_{21}$ , and diff.

## IV. DESIGN EXAMPLES

### A. Analysis of SIW Filters With Microstrip Resonator

Here, our proposed filters are fabricated using a single-layered PCB substrate. Its relative permittivity, loss tangent, and thickness are 2.65, 0.0035, and 1 mm, respectively.

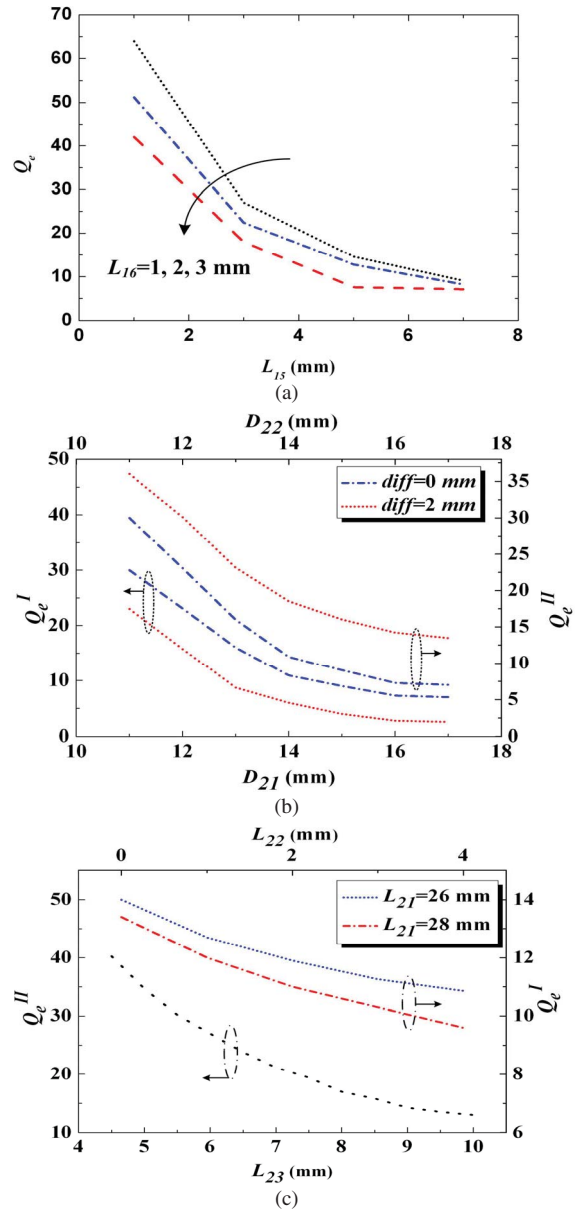


Fig. 5. External  $Q$ -factors versus (a)  $L_{15}$  and  $L_{16}$ , (b)  $D_{21}$  and  $D_{22}$ , and (c)  $L_{22}$  and  $L_{23}$ .

The electrical conductivity and thickness of the metal layer are equal to  $5.8 \times 10^7$  S/m and 0.035 mm, respectively.

For the third-order configuration shown in Fig. 2(a), the second resonator is realized by one microstrip resonator with two shorted ends. One transmission zero can be obtained above the passband. As shown in Fig. 6, a second trisection filter with the first and the third microstrip resonators employed is also presented for comparison. Their simulated  $S$ -parameters are also plotted in Fig. 6. It is observed that the insertion losses of the first and second filter are each about 1.4 dB, corresponding to the fractional bandwidths (FBWs) of 4% and 7%, respectively. Obviously, the in-band performance of the second filter is poor in comparison with the first one if same FBWs are obtained. The unloaded  $Q$ -factors of microstrip resonators with open ends are lower than those of their counterparts with two shorted ends.

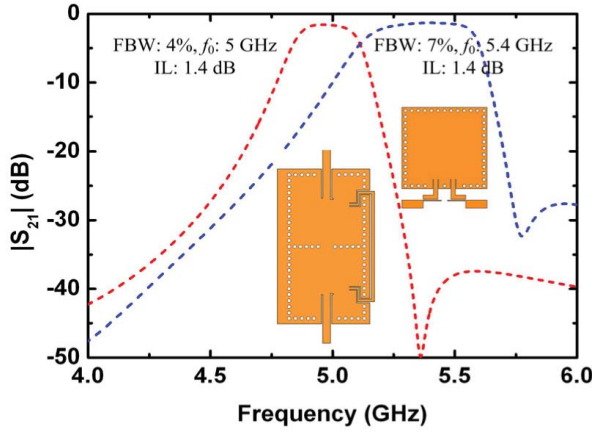


Fig. 6. Simulated  $S$ -parameters of different trisection SIW filters with microstrip resonators.

Their corresponding unloaded  $Q$ -factors are around 130 and 201, respectively [20], [21].

Meanwhile, the power-handling capacity of the first filter is better. The surface current on the microstrip line gets its maximum at its two ends; the generated heat can be dissipated through the large metal layer area of the SIW cavities. Thus, the presented filters have a higher power-handling capacity in comparison with the classic microstrip ones. Therefore, the implementation of the first filter is taken as primary selection in the system-on-package (SoP).

Then, the unloaded  $Q$ -factor of SIW should consist of those of the conductors, the via holes, the dielectric, and the electromagnetic (EM) field leakage

$$\begin{aligned} \frac{1}{Q_{\text{SIW}}} &= \frac{1}{Q_c} + \frac{1}{Q_d} + \frac{1}{Q_{\text{leak}}} \\ &= \frac{1}{Q_{\text{metal}}} + \frac{1}{Q_{\text{via}}} + \frac{1}{Q_d} + \frac{1}{Q_{\text{leak}}} \end{aligned} \quad (4)$$

$$Q_d = \frac{1}{\tan \delta} \quad (5)$$

$$Q_{\text{metal}} = \frac{h}{\delta_c}, \quad \delta_c = \frac{1}{\sqrt{\pi f_{\text{TE101}} \mu \sigma_c}} \quad (6)$$

and  $Q_{\text{via}}$  is calculated by [13]

$$\frac{1}{Q_{\text{via}}} = \frac{2\delta_c}{w} \left( \frac{2p_{\text{via}}}{\pi d_{\text{via}}} \right)^{1.25} \quad (7)$$

where  $(2p_{\text{via}}/(\pi d_{\text{via}}))^{1.25}$  is introduced for correcting the lateral currents on the lateral plates that are replaced by the via holes.  $d_{\text{via}}$  is the diameter of the via hole, and  $p_{\text{via}}$  is the pitch between them. When  $p_{\text{via}} \leq 2d_{\text{via}}$ , the leakage loss of the structure can be neglected.

The  $Q$ -factor of the SIW increases with increase in frequency, and it is 220 at 5 GHz. The  $Q$ -factors of SIW cavities are usually higher than those of microstrip lines as the operating frequency increases. Therefore, it should be possible for us to take advantage of the high  $Q$ -factor and high power-handling capacity of the SIW structures simultaneously, together with compact size of the microstrip lines.

TABLE I  
GEOMETRICAL SIZES OF THE FILTER (UNIT: mm)

Variable	Value	Variable	Value
$L_1$	3.5	$L_{15}$	7.1
$L_{12}$	25.8	$L_{16}$	3
$L_{13}$	25.8	$SL_1$	2.6
$L_{14}$	29.35	$D_1$	8

### B. Trisection SIW Filter Sample

In order to reduce the filter size, one microstrip resonator of a single wavelength is used to realize the second resonator. Some via holes with dotted lines, as shown in Fig. 2, are introduced to suppress the radiation of the microstrip line.

Fig. 1(a) shows the coupling scheme of the third-order SIW filter with a transmission zero above or below its passband. The  $S$ -parameters are determined by [22]

$$S_{11} = 1 + 2j \left[ A^{-1} \right]_{1,1} \quad (8a)$$

$$S_{21} = -2j \left[ A^{-1} \right]_{N+2,1} \quad (8b)$$

In order to know how to control the transmission zero, the explicit expression of the transmission zero in a low-pass prototype is provided by

$$\Omega = \frac{M_{12}M_{23}}{M_{13} - M_{22}} \quad (9)$$

Two points should be indicated as follows.

- 1) When  $\Omega > 0$ , direct and cross couplings are the same in nature and, therefore, the transmission zero is located at the upper stopband.
- 2) When  $\Omega < 0$ , two direct couplings are different from each other, and the transmission zero is located at the lower stopband.

In (9),  $M_{22} \neq 0$  represents the shift from the central frequency of the filter.

The bandwidth and central frequency of the trisection filter, with one transmission zero located at the lower stopband, are set to be 200 MHz and 5 GHz, respectively. At its central frequency  $f_0$ , its  $(n+2)$  coupling matrix  $M$  is given by [22]

$$M = \begin{bmatrix} 0 & 1.081 & 0 & 0 & 0 \\ 1.081 & -0.11 & -0.911 & 0.354 & 0 \\ 0 & -0.911 & 0.295 & 0.911 & 0 \\ 0 & 0.354 & 0.911 & -0.11 & 1.081 \\ 0 & 0 & 0 & 1.081 & 0 \end{bmatrix} \quad (10)$$

and the diagonal elements are determined by

$$M_{i,i} = \frac{f_0^2 - f_i^2}{\Delta f \cdot f_i} \quad (11)$$

where  $\Delta f$  is the filter bandwidth, and  $f_i$  is the resonant frequency of the  $i$ th resonator.

Further, according to the simulated coupling coefficients and Fig. 4, a set of initial values of  $L_{15}$ ,  $L_{16}$ ,  $D_1$ , and  $L_1$  are determined. The parameters  $D_1$  and  $L_1$  are used to control the location of transmission zero and bandwidth, respectively.

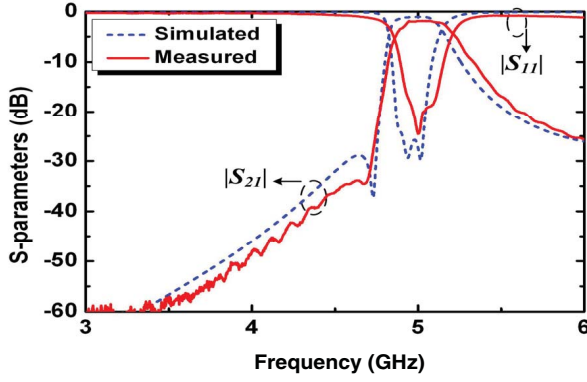


Fig. 7. Simulated and measured  $S$ -parameters of the trisection SIW filter as a function of frequency, and its transmission zero below the passband.

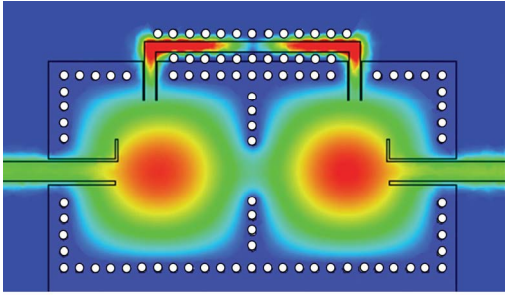


Fig. 8. Electric field distribution of the trisection SIW filter with its transmission zero below the passband.

The geometrical parameter  $SL_1$  is used to adjust the resonant frequency of the microstrip resonator. The whole structure is finely optimized so as to meet our specifications, which is performed using the commercial software high frequency simulator (HFSS).

Its geometrical parameters are summarized in Table I, with its simulated and measured  $S$ -parameters plotted in Fig. 7. The filter size is  $61 \times 32.8$  mm, i.e.,  $1.5 \lambda_g \times 0.97 \lambda_g$ , where  $\lambda_g$  is the guided wavelength at its central frequency. Its measured in-band insertion loss is about 1.8 dB, which includes 0.4 dB from two connectors. The passband return loss is better than 18 dB. The measured central frequency and the 3-dB bandwidth are about 5.05 GHz and 210 MHz, respectively. One transmission zero is located at 4.7 GHz, and better than  $-35$  dB rejection at the lower band is observed.

Fig. 8 shows the electric field distribution of the filter at its central frequency. It is seen that there is a  $180^\circ$  phase shift between two SIW cavities, with oppositely directed couplings. It is also observed that the electric field around the microstrip line is confined between two rows of via holes. Its surface current gets its maximum at its two ends and, therefore, its power-handling capacity can be enhanced by integrating it with the SIW cavities.

The presented third-order filter provides one flexible method to move the transmission zero to the lower stopband. It is taken as a type-I filter to make comparisons with the filters given in [7]–[9], such as listed in Table II. Although the above structure is compact, we still need to improve its passband selectivity and spurious suppression. Therefore, one novel third-order

TABLE II  
COMPARISON WITH THE THIRD-ORDER FILTERS  
PRESENTED IN REFERENCES

Reference	Size ( $\lambda_g \times \lambda_g$ )	FBW, $f_0$ (GHz)	IL (dB)	Number of TZ Below and Above Passband
[7]	$1.5 \times 1.4$	1.6% and 14.6	4	0 and 1
[8]	$3.6 \times 2.1$	5% and 10.0	2.4	1 and 0
[9]	$1.5 \times 1.4$	1.5% and 14.3	2.9	1 and 0
Filter of Type-I	$1.5 \times 0.97$	4% and 5.05	1.8	1 and 0
Filter of Type-II	$1.5 \times 1.17$	4.65% and 5.15	1.47	2 and 2

coupling scheme is proposed below for further improving the performance of the filter.

### C. Third-Order Filter With Quasi-Elliptic Function

In order to get better frequency selectivity, one novel coupling scheme with quasi-elliptic function has been shown in Fig. 1(b) and its layout in Fig. 2(b). Based on the topology in Fig. 1(b), the locations of two transmission zeros are determined by

$$\Omega = \frac{\pm[-M_{S2}M_{13} \cdot (M_{S1}M_{23} - M_{S2}M_{13})]^{\frac{1}{2}}}{M_{S2}}. \quad (12)$$

According to (12), the following can be noted.

1) When  $M_{13} = M_{23}$ , (12) can be simplified as

$$\Omega = \pm M_{13} \left[ \frac{-M_{S1}}{M_{S2} + 1} \right]^{\frac{1}{2}}. \quad (13)$$

Then, whether  $M_{S1} < M_{S2}$ , or  $M_{S1} > M_{S2}$ ,  $\Omega$  is always smaller than unity. Therefore, we cannot get transmission zeros on the imaginary axis of the complex frequency plane.

2) When  $M_{13} = -M_{23}$ , (12) can be expressed by

$$\Omega = \pm M_{13} \left[ \frac{M_{S1}}{M_{S2} + 1} \right]^{\frac{1}{2}}. \quad (14)$$

$\Omega$  is always larger than unity. Thus, two transmission zeros on the imaginary axis are obtained.

Further, the generalized coupling matrix is obtained and given by

$$\mathbf{M}_2 = \begin{bmatrix} 0 & 1.02 & 0.15 & 0 & 0 \\ 1.02 & 0 & 0 & 1.1 & 0 \\ 0.15 & 0 & 0 & -1.1 & 1.2 \\ 0 & 1.1 & -1.1 & 0 & 0 \\ 0 & 0 & 1.2 & 0 & 0 \end{bmatrix}. \quad (15)$$

Here, an SIW section, with width diff, is used to excite two SIW cavities at the same time, which should be large enough to make the filter operate above its cut-off frequency. The parameter diff in Fig. 2(b) is used to control the locations



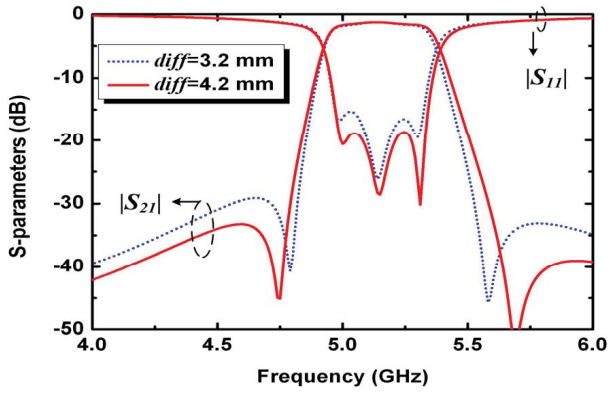


Fig. 9. Simulated  $S$ -parameters as a function of frequency for different values of diff.

TABLE III

GEOMETRICAL SIZES OF THE FILTER (UNIT: mm)

Variable	Value	Variable	Value
$L_{21}$	26	$L_{26}$	25.2
$L_{22}$	4.9	$L_{27}$	3.45
$L_{23}$	10.5	$L_{28}$	25.8
$L_{24}$	24.48	$D_{21}$	13.85
$L_{25}$	25.44	$D_{22}$	9.85

of the two transmission zeros. The simulated  $S$ -parameters for different cases are plotted in Fig. 9. The term of  $M_{S1}/M_{S2}$  in (14) decreases with decreasing diff. Thus, it is found that both transmission zeros shift to the passband as diff decreases.

The geometrical sizes of the fabricated filter are summarized in Table III. Its measured and simulated frequency responses are plotted in Fig. 10, where good agreement has been obtained. Its measured insertion and return losses are around 1.9 and greater than 19 dB, respectively. Its measured central frequency and 3-dB bandwidth are 5.3 GHz and 210 MHz, respectively. Then, additional 0.1–0.2 dB loss is introduced by the SIW section, which is verified by the full-wave EM simulator. Meanwhile, an enhanced passband selectivity is obtained, which is due to the four transmission zeros located at 4.14, 5, 5.9, and 6.75 GHz, respectively. As shown in Fig. 10, the spur appearing around 2.7 GHz is contributing to the first mode in the microstrip resonator. The first and fourth transmission zeros are generated by the coupling between the first and third modes in microstrip resonator and SIW cavities.

As shown in Table II, in comparison with the filters in [7]–[9] our proposed third-order filter is better in frequency selectivity and wider in stopband characteristic.

#### D. Fourth-Order Filter With Quasi-Elliptic Function

The coupling scheme of the fourth-order filter, as shown in Fig. 1(c), is an appropriate superposition of two trisection topologies. The location of its transmission zero is determined by

$$M_{14}\Omega^2 + \left[ M_{13}^2 - M_{12}^2 + M_{14}(M_{22} + M_{33}) \right] \Omega \times \left( M_{14}M_{22} - M_{12}^2 \right) M_{33} + M_{13}^2 M_{22} = 0. \quad (16)$$

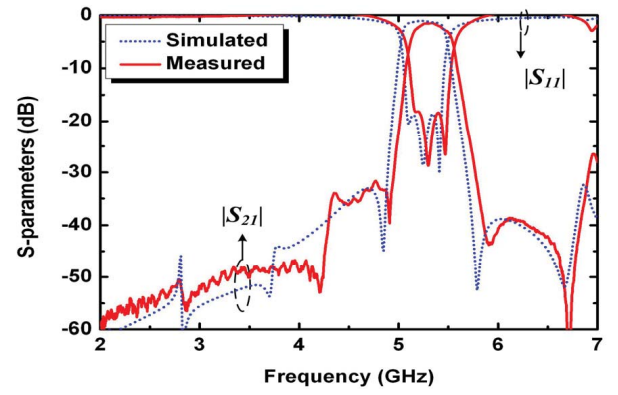


Fig. 10. Simulated and measured  $S$ -parameters of the third-order SIW filter in narrow band.

In our design, we set  $M_{14}$  as the magnetic (positive) coupling. Therefore, we get the following.

- 1) When  $M_{14} \neq 0$ , two transmission zeros are obtained, and they are located at lower and upper stopbands, respectively. Two symmetrical transmission zeros can be obtained when

$$M_{13}^2 - M_{12}^2 + M_{14}(M_{22} + M_{33}) = 0. \quad (17)$$

In general, the term  $(M_{22} + M_{33})$  approaches zero, so symmetry is obtained when  $M_{13}$  and  $M_{12}$  are the same.

- 2) When  $M_{14} = 0$ , one transmission zero is located at

$$\Omega = \frac{M_{12}^2 M_{33} - M_{13}^2 M_{22}}{M_{13}^2 - M_{12}^2} \quad (18)$$

and it can be shifted to the lower or the upper stopband by adjusting the coupling coefficients.

The central frequency and bandwidth of the filter are chosen to be 5 GHz and 300 MHz, respectively. Its generalized coupling matrix with cross coupling is given by

$$M = \begin{bmatrix} 0 & 1.18 & 0 & 0 & 0 & 0 \\ 1.18 & 0.029 & 0.697 & 0.75 & 0.21 & 0 \\ 0 & 0.697 & -0.86 & 0 & 0.697 & 0 \\ 0 & 0.75 & 0 & 0.85 & -0.75 & 0 \\ 0 & 0.21 & 0.697 & -0.75 & 0.029 & 1.18 \\ 0 & 0 & 0 & 0 & 1.18 & 0 \end{bmatrix}. \quad (19)$$

Here, we implement a dual-mode resonator with a T-type open stub for the development of the SIW filter. Its layout with two shorted ends is shown in Fig. 11.

Using the odd- and even-mode theory, we can get the resonant frequencies of the odd and even modes as

$$f_n^{\text{odd}} = \frac{c_0 n}{DL_1 \sqrt{\epsilon_r}} \quad (20a)$$

$$f_n^{\text{even}} = \frac{c_0 n}{\left[ \sqrt{\epsilon_r} (2DL_1 + 2DL_2 + 4DL_3) \right]} \quad (20b)$$

where  $n$  is the order of resonant mode, and  $c_0$  is the velocity of light in vacuum.

The top view of the proposed SIW filter with dual-mode resonator is shown in Fig. 2(c). The odd mode of microstrip resonator supports a  $180^\circ$  phase difference between two SIW

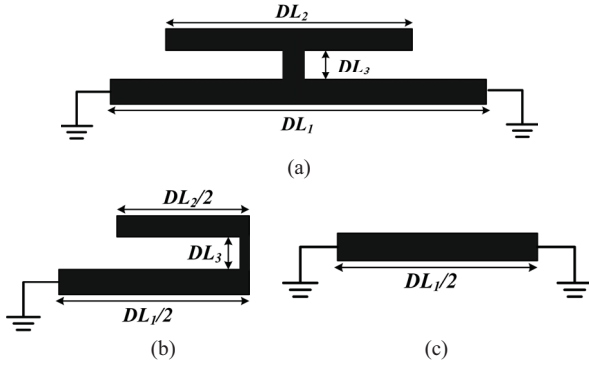


Fig. 11. Layout of (a) dual-mode resonator, and its equivalent (b) even and (c) odd modes.

cavities. The coupling between the even mode and two SIW cavities is the same in nature. There is no coupling between the odd and the even modes of the microstrip resonator, and the corresponding coupling scheme given in Fig. 1(c).

As indicated in (16), when the magnitudes of four direct couplings in the upper and lower trisection filters are the same, two transmission zeros are located at the same rejection level. Then, we should find an effective way to control the coupling between the odd or even mode and SIW cavities independently.

The magnetic coupling coefficient is defined by the ratio of the coupled to stored energy

$$k = \frac{\iiint \frac{1}{2} \mu \vec{H}_1 \cdot \vec{H}_2 dv}{\sqrt{\iiint \frac{1}{2} \mu |\vec{H}_1|^2 dv \cdot \iiint \frac{1}{2} \mu |\vec{H}_2|^2 dv}}. \quad (21)$$

Then, the coupling between the resonator 1 and  $i$  is calculated by

$$k_{1i} = \frac{\iiint \frac{1}{2} \mu \vec{H}_1 \cdot \vec{H}_i dv}{\sqrt{W_1 \cdot W_i}} \quad (22)$$

where  $W_i$  represents the stored energy of the  $i$ th resonator.

Here, it is assumed that the maximum current on the coupled area between the SIW cavity and the odd or the even mode is the same. Therefore, the ratio of coupling coefficients is obtained by

$$\frac{k_{1o}}{k_{1e}} = \frac{\sqrt{W_e}}{\sqrt{W_o}}. \quad (23)$$

The stored energies of both odd and even modes are calculated by

$$W_o = \int_{-L_{35}-L_{36}/2}^{L_{35}+L_{36}/2} \frac{1}{2} I_0^2 \frac{\cos^2(\beta x) Z_{\text{odd}} \beta}{\omega_0 dx} \quad (24a)$$

$$W_e = \int_{-L_{35}-L_{36}/2}^{L_{35}+L_{36}/2} \frac{1}{2} I_0^2 \frac{\cos^2(\beta x) Z_{\text{odd}} \beta}{\omega_0 dx} + \int_{-L_{37}/2}^{L_{37}/2} \frac{1}{2} I_0^2 \frac{\cos^2(\beta x) Z_{\text{even}} \beta}{\omega_0 dx} \quad (24b)$$

and therefore

$$\frac{k_{1o}}{k_{1e}} = \sqrt{1 + \frac{Z_{\text{even}}}{2Z_{\text{odd}}}}. \quad (25)$$

According to (25), it can be seen that the two couplings between the SIW cavity and the odd or even mode are not

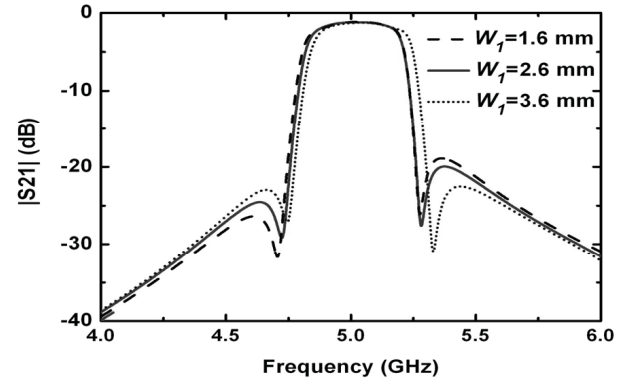


Fig. 12. Simulated  $S_{21}$ -parameter as a function of frequency for different values of  $W_1$ .

TABLE IV  
GEOMETRICAL SIZES OF THE FILTER (UNIT: mm)

Variable	Value	Variable	Value
$L_{35}$	3.6	$L_{36}$	29.35
$L_{32}$	5	$L_{37}$	15.45
$L_{33}$	9.9	$L_{31}$	25.8
$L_{34}$	5	$L_{32}$	25.8
$W_{31}$	2.6	$D_3$	7.4

equal to each other. According to (16), two symmetrical transmission zeros are obtained when

$$\frac{Z_{\text{even}}}{Z_{\text{odd}}} = \frac{-2M_{14}(M_{22} + M_{33})}{M_{12}^2}. \quad (26)$$

We know that the four direct couplings are sensitive to the variation of parameter  $L_5$ . Here,  $W_1$  is used to control the coupling between the even mode and the SIW cavity independently.  $Z_{\text{even}}$  becomes smaller with increase in the value of  $W_1$ , and therefore  $k_{1o}/k_{1e}$  becomes small. Finally, we can get two symmetrical transmission zeros just by changing  $W_1$ .

As explained above, the parameter  $L_{35}$  is used to adjust the filter bandwidth, and the locations of two transmission zeros are controlled by  $D_3$  simultaneously. The simulated  $S$ -parameters of the filter are plotted in Fig. 12 for different values of  $W_{31}$ . The coupling between the even mode and the SIW cavity becomes strong with increasing values of  $W_{31}$ , so the transmission zeros located at the upper and lower stopbands move far from and close to the passband, respectively. Therefore, two transmission zeros can get the same level of rejection just by tuning  $W_{31}$ .

The geometrical parameters of the fourth-order filter, as illustrated in Fig. 2(c), are summarized in Table IV, and its simulated and measured  $S$ -parameters plotted in Fig. 13. The measured insertion loss is about 2.05 dB, which is larger than the simulated one of 1.5 dB. The difference is because the measured bandwidth is 210 MHz, which is smaller than 280 MHz of its counterpart. It is almost flat around the central frequency and the variation in the passband of our measured results is about 0.2 ns.

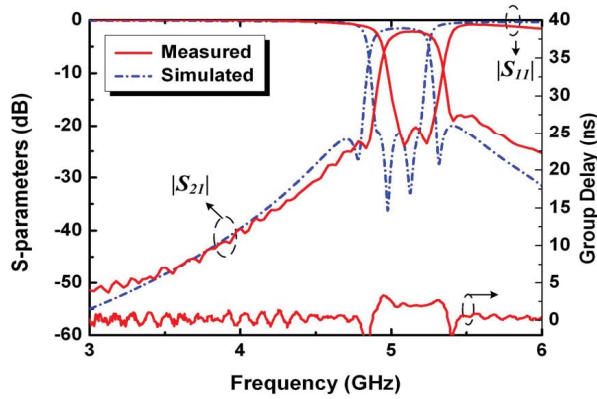


Fig. 13. Simulated and measured  $S$ -parameter and group delay of the fourth-order SIW filter as a function of frequency.

TABLE V  
COMPARISON WITH THE THIRD-ORDER FILTERS  
PRESENTED IN REFERENCES

Reference	Size ( $\lambda_g \times \lambda_g$ )	FBW, $f_0$ (GHz)	IL (dB)	TZs Below and Above Passband
[12]	$1.6 \times 1.42$	4.4% and 20.5	0.9	1 and 1
[17]	$2.9 \times 1.4$	2% and 10.0	2.6	1 and 1
This paper	$1.55 \times 0.98$	4.2% and 5.1	2.05	1 and 1

Finally, as listed in Table V, we make some comparisons between the proposed fourth-order filter and the previous planar ones in [12] and [17]. Our presented filter is reduced in size by 40%, and they have relatively flat in-band group delay.

## V. CONCLUSION

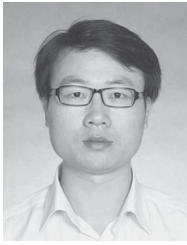
In this paper, we presented some novel SIW bandpass filters realized using certain topologies. For the purpose of miniaturization, microstrip lines with different electrical lengths were used to replace the SIW cavity. In our designs, a one-wavelength microstrip line was introduced into the trisection SIW filter, and then one transmission zero was obtained below its passband. Furthermore, a novel third-order coupling scheme was presented, and the filter sample had better frequency selectivity and wider stopband. Finally, a dual-mode microstrip resonator was implemented in the development of a fourth-order SIW filter, with quasi-elliptic function as well as flat group delay in their passbands, respectively. All of them had high rejection level in the stopband. In our design, the surface current on the microstrip line gets its maximum at its two ends; the generated heat can be dissipated through large metal layer area of the SIW cavities. Thus, the presented filters have higher power-handling capacity in comparison with the classic microstrip ones. Meanwhile, our proposed filters, in comparison with the planar SIW ones, can also achieve 40% size reduction. Therefore,

the proposed structures are suitable for the development of some SoPs.

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